



THE GROB TEA CO. LTD.

11th October, 2021

To,
The Secretary
National Stock Exchange of
India Limited
Exchange Palza Bandra Kurla
Complex Mumbai - 400051

To,
The Secretary
The Calcutta Stock
Exchange Limited
7 Lyons Range,
Kolkata - 700 001

Dear Sir,

**Re: Corporate Governance - Compliance
Report -September, 2021**

Pursuant to Regulation 27(2)(a) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015, we are enclosing herewith the Compliance Report on Corporate Governance for the quarter ended 30th September, 2021.

This is for your information and record.

Yours Faithfully,
For The Grob Tea Co. Ltd.

Kritika Mowata

(Company Secretary)

Encl: As above

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - The Grob Tea Company Limited
2. Quarter ending - 30-Sep-2021

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive / Non-Executive / Independent / Nominee)	Sub Category	Initial Appointment	Date of Appointment	Date of Appointment	Date of cessation	Term	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committee of the Company	Remarks
Mr.	Mukesh Kumar Agarwal	0069 7746	ACSPA5 049H	NED		26-Aug-2009	31-Aug-2021				23-Apr-1972	NA		1	0	0	1	SC/NRC	
Mr.	Pradeep Kumar Agarwal	0070 3745	ACSPA5 048G	C & ED	MD	26-Aug-2009	01-Apr-2020				21-Dec-1970	NA		1	0	1	0	SC	
Mr.	Indu Bhusan Sharaf	0004 7266	ALSP51 966L	ED		27-May-2009	01-Apr-2021				20-May-1950	NA		1	0	2	0	ACSC	
Ms.	Priyanka Singhania	0719 5629	ACQPC 9037M	ID		28-May-2015	28-May-2018			76	12-Mar-1982	Yes	28-Aug-2018	1	1	2	0	ACSC, NRC	
Mr.	Sanjay Kumar Agarwal	0018 9691	AESPA7 314R	ID		25-May-2016	23-May-2019			64	05-Nov-1968	Yes	06-Sep-2019	2	2	0	1	ACN RC	
Mr.	Niraj Kumar Harodia	0667 6837	ABFPH3 723B	ID		30-Dec-2020	23-Feb-2021			9	04-Aug-1984	No		1	1	1	0	ACN RC	

Company Remarks	
Whether Permanent chairperson appointed	No
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Indu Bhusan Sharaf	ED	Member	30-May-2014	
2	Priyanka Singhania	ID	Member	11-Nov-2020	
3	Sanjay Kumar Agarwal	ID	Chairperson	25-May-2016	
4	Niraj Kumar Harodia	ID	Member	30-Dec-2020	

Company Remarks

Whether Permanent chairperson appointed Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Mukesh Kumar Agarwal	NED	Chairperson	30-May-2014	
2	Pradeep Kumar Agarwal	C & ED	Member	30-May-2014	
3	Indu Bhusan Sharaf	ED	Member	30-May-2014	
4	Priyanka Singhania	ID	Member	29-Sep-2018	

Company Remarks

Whether Permanent chairperson appointed Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks

Whether Permanent chairperson appointed

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Niraj Kumar Harodia	ID	Member	30-Dec-2020	
2	Mukesh Kumar Agarwal	NED	Member	10-Oct-2017	
3	Priyanka Singhania	ID	Member	11-Nov-2020	
4	Sanjay Kumar Agarwal	ID	Chairperson	25-May-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
29-Jun-2021	14-Aug-2021	Yes	6	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	45

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	29-Jun-2021	14-Aug-2021	Yes	4	3
Nomination & Remuneration Committee	01-Apr-2021		Yes	4	3
Stakeholders Relationship Committee	29-Jun-2021	14-Aug-2021	Yes	4	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	45

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
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Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - *a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/ observations/ advice of Board of Directors may be mentioned here:

Name : KRITIKA MOHATA
 Designation : Company Secretary



Affirmations

ANNEXURE III

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

Name : KRITIKA MOHATA
Designation : Company Secretary



ANNEXURE IV

GROBTEA	THE GROB TEA COMPANY LIMITED	30.09.2021
NOT APPLICABLE		

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months						Balance outstanding at the end of six months			
Promoter or any other entity controlled by them	Promoter Group or any other entity controlled by them	Directors (including relatives) or any other entity controlled by them	KMPs or any other entity controlled by them	Promoter or any other entity controlled by them	Promoter Group or any other entity controlled by them	Directors (including relatives) or any other entity controlled by them	KMPs or any other entity controlled by them		

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status

Name: Vinay Kumar Kejriwal
 Designation: Chief Executive Officer
 Place: Kolkata
 Date: 11/10/2021